

20AC

| TRANSMITTAL LETTER | | | |
|--|------------------------------|------------------------------|--|
| Serial No. 107862.314 | Filing Date March 8, 2001 | Examiner Not Yet Assigned | Case No. 9281/3900 Group Art Unit 2652 |
| Inventors KIYOSHI SATO, TOSHINORI WATANABE, HIDEKI GOCHOU, KIYOSHI KOBAYASHI, TORU TAKAHASHI, AND HISAYUKI YAZAWAL | | | |
| Title of Invention THIN-FILM MAGNETIC HEAD APPROPRIATELY SUPPRESSING SIDE FRINGING AND METHOD FOR FABRICATING THE SAME | | | |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Supplemental Request for Reconsideration Under 37 C.F.R. § 1.47(a); Declaration and Power of Attorney for Patent Application;; and

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | | | | | Small Entity | | or | Other Than Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|----|-------------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | | Rate | Add'l Fee |
| Total | | Minus | | | x \$9 = | | | x \$18 = | |
| Indep. | | Minus | | | x 40 = | | | x \$80 = | |
| First Presentation of Multiple Dep. Claim | | | | | + \$140 | | | + \$280 = | |
| | | | | | Total add'l fee | \$ | | Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the Petitions fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Jaeger W. Dockrey
Jaeger W. Dockrey
Registration No. 33,868
Attorney for Applicants

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APR 17 2002

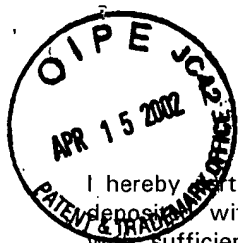
OFFICE OF PETITIONS

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 9, 2002

Date: April 9, 2002

Signature: *Jaeger W. Dockrey*



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on April 9, 2002

Date of Deposit

Jasper W. Dockrey, Reg. No. 33,868

Name of applicant, assignee or
Registered Representative

Jasper W. Dockrey
Signature
April 9, 2002

Date of Signature

Case No. 9281/3900
ALPS No. N US00052

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
SATO et al.

Serial No.: 09/802,314

Filed: March 8, 2001

For: THIN-FILM MAGNETIC HEAD
APPROPRIATELY SUPPRESSING
SIDE FRINGING AND METHOD FOR
FABRICATING THE SAME

)
)
) Examiner:
) Not Yet Assigned
)
)
) Group Art Unit:
) 2652
)
)
)

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**SUPPLEMENTAL REQUEST FOR
RECONSIDERATION UNDER 37 C.F.R. §1.47(a)**

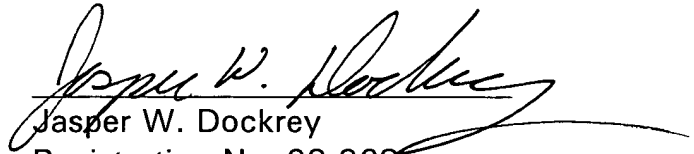
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to a telephonic communication from the Petition's Attorney regarding applicants' Petition Under Rule 1.47(a), the applicants herewith submit a newly-executed Declaration and Power of Attorney for Patent Application. The Applicants respectfully assert that the enclosed Declaration satisfies all

requirements of Rule 1.47(a). Accordingly, the applicants respectfully request that their Petition be granted.

Respectfully submitted,



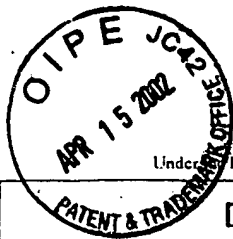
Jasper W. Dockrey
Registration No. 33,868
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
312/321-4200

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#9

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PTO/SB/106 (5-00)
Approved for use through 10/31/02. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Declaration and Power of Attorney for Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

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OFFICE OF PETITIONS

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Thin-Film Magnetic Head

Appropriately Suppressing
Side Fringing and Method for

Fabricating the Same

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐ _____ の日に出願され、
この出願の米国出願番号またはPCT国際出願番号は、
_____ であり、且つ
_____ の日に補正された出願（該当する場合）

☐ was filed on March 8, 2001
as United States Application Number or
PCT International Application Number
09/802,314 and was amended on
_____ (if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the need of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration
(日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一国を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

Priority Not Claimed

優先権主張なし

2000-065288

Japan

09/March/2000

(Number)

(Country)

(Day/Month/Year Filed)

(番号)

(国名)

(出願日/月/年)

☐

Japan

(Number)

(Country)

(Day/Month/Year Filed)

(番号)

(国名)

(出願日/月/年)

☐

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編第119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)
(出願番号)(Filing Date)
(出願日)(Application No.)
(出願番号)(Filing Date)
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.)
(出願番号)(Filing Date)
(出願日)(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)(Application No.)
(出願番号)(Filing Date)
(出願日)(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Japanese Language Declaration
(日本語宣言書)

委任状： 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び／または弁理士を任命する。(氏名及び登録番号を記載すること)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

See Attachment A

書類送付先

Send Correspondence to:

Brinks Hofer Gilson & Lione
P.O. Box 10395
Chicago, Illinois 60610

直通電話連絡先：(氏名及び電話番号)

Direct Telephone Calls to: (name and telephone number)

唯一または第一発明者氏名

Full name of sole or first inventor

Kiyoshi Sato

発明者の署名

日付

Inventor's signature

Date

Kiyoshi Sato March 29, 2002

住所

Residence

Niigata-ken, Japan

国籍

Citizenship

Japan

郵便の宛先

Post Office Address c/o ALPS ELECTRIC CO., LTD.
1-7 Yukigaya, Otsuka-cho, Ota-ku, Tokyo, Japan

第二共同発明者がいる場合、その氏名

Full name of second joint inventor, if any

Toshinori Watanabe

第二共同発明者の署名

日付

Second inventor's signature

Date

Toshinori Watanabe March 29, 2002

住所

Residence

Niigata-ken, Japan

国籍

Citizenship

郵便の宛先

Post Office Address c/o ALPS ELECTRIC CO., LTD.
1-7 Yukigaya, Otsuka-cho, Ota-ku, Tokyo, Japan

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

| | |
|--|--|
| | Attorney Docket No. 9281-3900 Serial No. 09/802,314 |
| | Full name of third joint inventor Hideki Gochou |
| | Inventor's signature <i>Hideki Gochou</i> Date March 29, 2002 |
| | Residence Niigata-ken, Japan |
| | Citizenship Japan |
| | Post Office Address c/o ALPS ELECTRIC CO., LTD. 1-7 Yukigaya, Otsuka-cho Ota-ku, Tokyo, Japan |
| | Full name of fourth joint inventor Kiyoshi Kobayashi |
| | Inventor's signature <i>Kiyoshi Kobayashi</i> Date March 29, 2002 |
| | Residence Niigata-ken, Japan |
| | Citizenship Japan |
| | Post Office Address c/o ALPS ELECTRIC CO., LTD. 1-7 Yukigaya, Otsuka-cho Ota-ku, Tokyo, Japan |
| | Full name of fifth joint inventor Toru Takahashi |
| | Inventor's signature <i>Toru Takahashi</i> Date March 29, 2002 |
| | Residence Niigata-ken, Japan |
| | Citizenship Japan |
| | Post Office Address c/o ALPS ELECTRIC CO., LTD. 1-7 Yukigaya, Otsuka-cho Ota-ku, Tokyo, Japan |

| | |
|--|--|
| | Attorney Docket No. 9281-3900 Serial No. 09/802,314 |
| | Full name of sixth joint inventor Hisayuki Yazawa |
| | Inventor's signature <i>Hisayuki Yazawa</i> Date March 29, 2002 |
| | Residence Niigata-ken, Japan |
| | Citizenship Japan |
| | Post Office Address c/o ALPS ELECTRIC CO., LTD. 1-7 Yukigaya, Otsuka-cho Ota-ku, Tokyo, Japan |
| | Full name of seventh joint inventor Masaki Ikegami (Deceased) |
| | Inventor's signature Date |
| | Residence Niigata-ken, Japan |
| | Citizenship Japan |
| | Post Office Address c/o ALPS ELECTRIC CO., LTD. 1-7 Yukigaya, Otsuka-cho Ota-ku, Tokyo, Japan |
| | Full name of eighth joint inventor On behalf of Masaki Ikegami (Deceased) Hiromi Ikegami |
| | Inventor's signature Date |
| | Residence Niigata-ken, Japan |
| | Citizenship Japan |
| | Post Office Address 3-6-14 Funaoka, Ojiya-shi, Niigata-ken, Japan |

| | |
|--|---|
| | Full name of ninth joint inventor On behalf of Masaki Ikegami (Deceased) Chiaki Ikegami |
| | Inventor's signature Date |
| | Residence Niigata-ken, Japan |
| | Citizenship Japan |
| | Post Office Address 3-6-14 Funaoka, Ojiya-shi, Niigata-ken, Japan |

ATTACHMENT A

| | |
|------------------------|--------|
| Guy W. Shoup | 26,805 |
| F. David AuBuchon | 20,493 |
| Gustavo Siller, Jr. | 32,305 |
| Jasper W. Dockrey | 33,868 |
| John C. Freeman | 34,483 |
| William F. Prendergast | 34,699 |
| Michael E. Milz | 34,880 |
| Paul E. Rauch | 38,591 |
| Tadashi Horie | 40,437 |
| Richard K. Clark | 40,560 |
| Joseph F. Hetz | 41,070 |
| Jason C. White | 42,223 |
| James A. Collins | 43,557 |
| Anthony P. Curtis | 46,193 |